



PATENT  
Docket No. 415072000600

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**DECLARATION FOR UTILITY PATENT APPLICATION**

AS A BELOW-NAMED INVENTOR, I HEREBY DECLARE THAT:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled: HUMAN OVARIAN MESOTHELIAL CELLS AND METHODS OF ISOLATION AND USES THEREOF, the specification of which is attached hereto unless the following box is checked:

- ☐ as filed herewith as United States Application Serial No. or PCT International Application No. Unassigned and was amended on (if applicable).

I HEREBY STATE THAT I HAVE REVIEWED AND UNDERSTAND THE CONTENTS OF THE ABOVE-IDENTIFIED SPECIFICATION, INCLUDING THE CLAIMS, AS AMENDED BY ANY AMENDMENT REFERRED TO ABOVE.

I acknowledge the duty to disclose information which is material to the patentability as defined in 37 C.F.R. § 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT International application which designated at least one country other than the United States listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed:

Application No.	Country	Date of Filing (day/month/year)	Priority Claimed?
			<input type="checkbox"/> Yes <input type="checkbox"/> No

I hereby claim benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below:

Application Serial No.	Filing Date

I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s), or § 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. § 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application.

Application Serial No.	Filing Date	Status
		<input type="checkbox"/> Patented <input type="checkbox"/> Pending <input type="checkbox"/> Abandoned

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under § 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

4/6/2000  
Date

Ronghao LI  
Name: Rong-hao LI  
Residence: 4091 Miramar Street, Apt. F, La Jolla, CA 92037  
Citizenship: People's Republic of China  
Post Office Address: Same as above

4/6/00  
Date

Laura BALD  
Name: Laura BALD  
Residence: 761 University Avenue, Los Altos, CA 94022  
Citizenship: US  
Post Office Address: Same as above

4/6/00  
Date

Jennie Powell MATHER  
Name: Jennie Powell MATHER  
Residence: 269 La Prenda Drive, Millbrae, CA 94030  
Citizenship: US  
Post Office Address: Same as above

Applicant/Patentee: Rong-hao LI et al.

Serial No./Patent No.: 09/545,659

Filed on/Issued: April 10, 2000

For: HUMAN OVARIAN MESOTHELIAL CELLS AND METHODS OF ISOLATION AND USES THEREOF

Docket No.: 415072000600

**VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS**  
**37 C.F.R. §§ 1.9(f) AND 1.27(c) — SMALL BUSINESS CONCERN**

I hereby declare that I am

- ☒ the owner of the small business concern identified below:  
☐ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN: Raven Biotechnologies, Inc.

ADDRESS OF CONCERN: 305 Old County Road, San Carlos, California 94070

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 C.F.R. § 121.12, and reproduced in 37 C.F.R. § 1.9(d), for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled HUMAN OVARIAN MESOTHELIAL CELLS AND METHODS OF ISOLATION AND USES THEREOF by inventor(s) Rong-hao LI, Laura BALD, and Jennie Powell MATHER described in

- ☒ the specification filed herewith with title as listed above.  
☐ the application identified above.  
☐ the patent identified above.

If the rights held by the above identified business concern are not exclusive, each individual, concern or organization having rights in the invention must file separate verified statements averring to their status as small entities, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 C.F.R. § 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 C.F.R. § 1.9(d), or a nonprofit organization under 37 C.F.R. § 1.9(e).

Each person, concern or organization having any rights in the invention is listed below:

- ☒ no such person, concern, or organization exists.  
☐ each such person, concern or organization is listed below.

NAME	ADDRESS	TYPE
		<input type="checkbox"/> Individual <input type="checkbox"/> Small Business Concern <input type="checkbox"/> Nonprofit Organization

Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 C.F.R. § 1.27)

I acknowledge the duty to file, in this application or patent, notification or any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 C.F.R. § 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

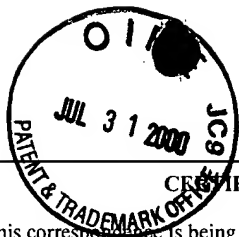
NAME OF PERSON SIGNING: Jennie Powell Mather

TITLE OF PERSON IF OTHER THAN OWNER: CEO

ADDRESS OF PERSON SIGNING: 305 Old County Road, San Carlos, CA 94070

SIGNATURE: 

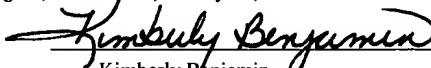
DATE: 



PATENT  
Docket No. 415072000600

CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:  
Assistant Commissioner for Patents, Washington, D.C. 20231, on July 26, 2000.

  
Kimberly Benjamin

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Rong-hao LI et al.

Serial No.: 09/545,659

Filing Date: April 10, 2000

For: HUMAN OVARIAN MESOTHELIAL  
CELLS AND METHODS OF  
ISOLATION AND USES THEREOF

Examiner: Not Yet Assigned

Group Art Unit: 1649

PROSECUTION BY ASSIGNEE AND POWER OF ATTORNEY  
UNDER 37 C.F.R. § 3.71

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Raven Biotechnologies, Inc., the assignee of the entire right, title and interest in this  
patent application, under 37 C.F.R. § 3.71 hereby appoints:

Laurie A. Axford (Reg No. 35,053)  
Joseph Barrera (Reg No. 44,522)  
Shantanu Basu (Reg No. 43,318)  
Frank P. Becking (Reg No. 42,309)  
Kimberly A. Bolin (Reg No. 44,546)  
Barry E. Bretschneider (Reg No. 28,055)  
Nicholas Buffinger (Reg No. 39,124)  
Mark R. Carter (Reg No. 39,131)  
Peng Chen (Reg No. 43,543)  
Thomas E. Ciotti (Reg No. 21,013)  
Raj S. Davé (Reg No. 42,465)

Sanjay S. Bagade (Reg No. 42,280)  
Erwin J. Basinski (Reg No. 34,773)  
Richard R. Batt (Reg No. 43,485)  
Vincent J. Belusko (Reg No. 30,820)  
Timothy J. Bortree (Reg No. 43,506)  
Tyler S. Brown (Reg No. 36,465)  
Jingming Cai (Reg No. P-44,579)  
Robert K. Cerpa (Reg No. 39,933)  
Thomas Chuang (Reg No. P-44,616)  
Matthew M. D'Amore (Reg No. 42,457)  
Stephen C. Durant (Reg No. 31,506)

Carolyn A. Favorito (Reg No. 39,183)  
Hector Gallegos (Reg No. 40,614)  
Kenneth R. Glick (Reg No. 28,612)  
Peter Hsieh (Reg No. P-44,780)  
Richard D. Jordan (Reg No. 33,519)  
Richard C. Kim (Reg No. 40,046)  
Elaine K. Lee (Reg No. 41,936)  
Lisa E. Marks (Reg No. 44,901)  
Gladys H. Monroy (Reg No. 32,430)  
Kate H. Murashige (Reg No. 29,959)  
Catherine M. Polizzi (Reg No. 40,130)  
Paul J. Riley (Reg No. 38,596)  
Debra A. Shetka (Reg No. 33,309)  
Rebecca Shortle (Reg No. P47,083)  
Stanley H. Thompson (Reg No. 45,160)  
Todd W. Wight (Reg No. 45,218)  
Frank Wu (Reg No. 41,386)  
George C. Yu (Reg No. 44,418)  
Jimmy Zhang (Reg No. P-47,201)

David L. Fehrman (Reg No. 28,600)  
Debra J. Glaister (Reg No. 33,888)  
Charles D. Holland (Reg No. 35,196)  
Madeline I. Johnston (Reg No. 36,174)  
Ararat Kapouytian (Reg No. 40,044)  
Kawai Lau (Reg No. 44,461)  
Rimas T. Lukas (Reg No. 46,451)  
Thomas D. Mays (Reg No. 34,524)  
Philip A. Morin (Reg No. P-45,926)  
Martin M. Noonan (Reg No. 44,264)  
William C. Revelos (Reg No. 42,101)  
Robert Saltzberg (Reg No. 36,910)  
Terri Shieh-Newton (Reg No. P-47,081)  
Kevin R. Spivak (Reg No. 43,148)  
E. Thomas Wheelock (Reg No. 28,825)  
Thomas G. Wiseman (Reg No. 35,046)  
David T. Yang (Reg No. 44,415)  
Karen R. Zachow (Reg No. P-46,332)

all of Morrison & Foerster LLP, 755 Page Mill Road, Palo Alto, California 94304-1018,  
telephone (650) 813-5600, to prosecute this application and transact all matters in the United  
States Patent and Trademark Office connected therewith, said appointment to be to the exclusion  
of the inventors and their attorneys in accordance with the provisions of 37 C.F.R. § 3.71  
provided that if any one of said attorneys or agents ceases being affiliated with the law firm of  
Morrison & Foerster as partner, employee or of counsel, such attorney's or agent's appointment  
as attorney or agent and all powers derived therefrom shall terminate on the date such attorney or  
agent ceases being so affiliated.

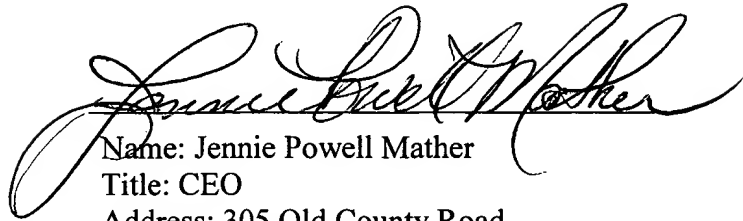
Please direct all written communications relative to this application to:

Thomas E. Ciotti  
Morrison & Foerster LLP  
755 Page Mill Road  
Palo Alto, California-94304-1018-

Please direct all telephone communications to Thomas E. Ciotti at (650) 813-5702.

Raven Biotechnologies, Inc.  
a Delaware corporation

Dated: July 21, 2000

A large, stylized handwritten signature in black ink, which appears to read "Jennie Powell Mather". The signature is written over a horizontal line.

Name: Jennie Powell Mather

Title: CEO

Address: 305 Old County Road  
San Carlos, CA 94070

AND said assignors hereby request the Commissioner of Patents to issue said Letters Patent of the United States to said assignee as the assignee of said inventions and the Letters Patent to be issued thereon for the sole use of said assignee, its successors, legal representatives and assigns.

4/6/2000  
Date

Ronghao Li  
Rong-hao Li

4/6/00  
Date

Laura Bald  
Laura Bald

4/6/00  
Date

Jennie Powell Mather  
Jennie Powell Mather